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UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

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For:

COMMUNICATION APPARATUS

Enclosed are:

☒ 34 page(s) of specification, 1 page(s) of Abstract, 8 page(s) of claims

☒ 13 sheets of drawing ☒ formal ☐ informal

☒ 6 Page(s) of Declaration and Power of Attorney

☐ Unsigned

☒ Newly Executed

☐ Copy from prior application

☐ Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

☒ Incorporation by Reference: The entire disclosure of the priority application(s) identified below, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

☐ Microfiche Computer Program (Appendix)

☐ _____ page(s) of Sequence Listing

☐ computer readable disk containing Sequence Listing

☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same

☒ Claim for Priority Japanese Application No 10-375884 filed 12/21/98

- ☐ Certified copy of Priority Document(s)
- ☐ English translation documents
- ☐ Information Disclosure Statement
- ☐ Copy of __Cited references w/ English Abstracts
- ☐ Copy of PTO-1449 filed in parent application serial No. _____
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
- ☒ A check in the amount of \$40.00 for recording the Assignment.
- ☐ Assignment papers filed in parent application Serial No. _____
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. _____
- ☐ Cancel in this application original claims _____ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☐ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until _____.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the patent application to this application.
- ☐ Amend the specification by inserting before the first line the sentence:
This is a ☐ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. _____ filed _____.

I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

	Number Filed		Number Extra	Rate	Basic Fee
Total					\$ 760.00
Claims	36	-20=	16	x\$18.00	\$ 288.00
Independent					
Claims	18	- 3=	15	x78.00	\$1,170.00
Multiple Dependent Claims					
	[] yes		Additional Fee =	\$260.00	
	[X] no		Add'l Fee =	NONE	\$ 0

Total: \$2,218.00

[] A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ _____.

[X] A check in the amount of \$2,218.00 for payment of the application filing fees is attached.

[] Charge Fee(s) to Deposit Account No. 13-4500. Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

[X] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1232-4604. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No. : TBA Group Art Unit : TBA
Filed : December 10, 1999 (Herewith)
For : COMMUNICATION APPARATUS

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EJ606949090US

Date of Deposit December 10, 1999

I hereby certify that the following attached paper(s) and/or fee
Application Fee Transmittal (in duplicate); 34 pp. of specs., 1 page of abstract, 8 pp. claims (36 TOTAL
claims); 13 Sheets of Formal Drawings (Figs. 1-12); check in the amount of \$2,218.00; 6 pg. Executed
Declaration/POA; Assignment Recordation Form Cover Sheet w/ 1 pg. Executed Assignment Document;
Check in the amount of \$40.00; and return receipt postcard

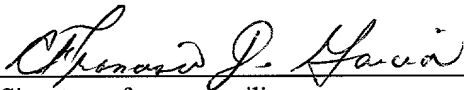
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37

C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box New

Applications, Washington, D.C. 20231.

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Typed or printed name of person
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TITLE OF THE INVENTION

COMMUNICATION APPARATUS

5 BACKGROUND OF THE INVENTION

Field of the Invention:

This invention relates to a communication apparatus that is capable of managing communication charges.

10 Description of the Related Art:

A roaming service for communication between neighboring zones has been achieved in roaming radio communications systems [the PDC (Personal Digital Cellular) system, PHS (Personal Handyphone System) and
15 GSM (Global System for Mobile communications)].

However, a roaming service on a world-wide scale has not yet been implemented.

A radio communication system capable of roaming on a world-wide scale has recently been developed and is
20 referred to as "IMT 2000" [FPLMTS (Future Public Land Mobile Telecommunication Systems)].

A radio terminal apparatus is now available having a function for storing and managing the communication history of the apparatus by using a caller number. By
25 means such as a service for giving notification of the caller number, it is possible to specify a communicating

party on the side of the radio terminal apparatus.

However, the communication-history management function of the conventional radio terminal apparatus is considered to be an extension of the application of the communication-history management function used by a
5 wired terminal apparatus. The main purpose of this function, therefore, is to manage when and with whom communication took place. In other words, no improvement in the management of the communication
10 history of the radio terminal apparatus is provided. Accordingly, the calculation of a communication charge from communication-history information that is possible with a wired terminal apparatus is not possible with a radio (wireless) terminal apparatus.

15 Information for the purpose of recognizing a communication route (a connecting network intervening between communicating parties) is merely an additional dial number entered when a call is originated. More specifically, in a case where selection of a connecting
20 network is left up to the radio network or a case where a response is made to a collect call, the connecting network cannot be recognized at the radio terminal apparatus. As a result, communication begins without the user of the radio terminal knowing how much the
25 charge will be over a fixed period of time. This can lead to one being billed later for an unexpectedly high

communication fee.

SUMMARY OF THE INVENTION

5 Accordingly, an object of the present invention is to give notification of accurate communication charges.

 Another object of the present invention is to make possible the notification of accurate communication charges even if roaming is performed.

10 A further object of the present invention is to prevent an increase in the amount of data in communication performed at call origination or termination for the purpose of informing of communication charges.

15 A further object of the present invention is to give notification of the communication charge involved in a collect call.

 Still another object of the present invention is to give notification of accurate communication charge that
20 conforms to the route of the connection to the communicating party.

 Other features and advantages of the present invention will be apparent from the following description taken in conjunction with the accompanying
25 drawings, in which like reference characters designate the same or similar parts throughout the figures

thereof.

BRIEF DESCRIPTION OF THE DRAWINGS

5 Fig. 1A is a diagram conceptually illustrating the
roaming of a radio terminal apparatus in a wide-band
CDMA (W-CDMA) mobile communication system;

10 Fig. 1B is a block diagram illustrating the general
construction of the mobile communication system shown in
Fig. 1A;

Fig. 2 is a block diagram showing the construction
of a radio terminal apparatus;

15 Fig. 3 is a diagram showing a procedure for
reporting network area information at execution of a
position-registration processing sequence in a W-CDMA
mobile communication system;

Fig. 4 is a diagram illustrating an outgoing-call
sequence;

20 Fig. 5 is a diagram illustrating an incoming-call
sequence;

Fig. 6 is a flowchart showing processing for
accepting notification of network area information in a
radio terminal apparatus activated when network area
information is received;

25 Fig. 7 is a diagram showing the content of
communication-history information;

Fig. 8 is a flowchart showing processing at success of outgoing/incoming call processing when various items of communication-history information are stored;

Fig. 9 is a flowchart showing disconnect processing
5 when a communication line is disconnected;

Fig. 10 is a flowchart showing processing at success of handover processing;

Fig. 11 is a flowchart showing processing for referring to a received call set-up message in a case
10 where a collect call is accepted; and

Fig. 12 is a diagram showing a memory map of a ROM serving as a storage medium.

DESCRIPTION OF THE PREFERRED EMBODIMENT

15

A communication system embodying the present invention will now be described.

Illustrated as an example of this communication system is W-CDMA mobile communication system, which is a
20 candidate for an IMT (International Mobile Telecommunication) 2000 system. The latter is a radio communication system contrived for roaming.

Fig. 1A is a diagram conceptually illustrating the roaming of a radio terminal apparatus in a W-CDMA mobile
25 communication system. As shown in Fig. 1A, radio service zones 101, 111 are provided by respective

communications carriers. The service zones (service zones A and B) are each composed of a combination of radio cells formed by individual radio base stations, not shown. Each of the radio base stations is
5 controlled by radio channel control units 102, 112 of the respective carriers. A communication network 100 connects the radio channel control units 102, 112.

Fig. 1B is a block diagram illustrating the mobile radio communication system shown in Fig. 1A.

10 As shown in Fig. 1B, the radio channel control units 102, 112 are connected by connecting networks 100A, 100B and 100C, which construct the communication network 100 shown in Fig. 1A.

In addition to the radio channel control unit 102
15 of carrier A from which a radio terminal A103 roams and the radio channel control unit 112 of carrier B into which the radio terminal A103 roams, a radio channel control unit 122 (not shown in Fig. 1A) of a carrier C is connected to the connecting networks 100A and 100B.

20 The radio channel control units 102, 112 respectively include interfaces 102A, 112A for connecting the communication network 100, interfaces 102B, 112B for connecting radio base stations (BS), switches 102C, 112C for connecting the interfaces 102A, 102B and the interfaces 112A, 112B, controllers 102D,
25 112D, and memories 102E, 112E.

One of radio base stations BS is indicated at 150. The other radio base stations, indicated at 151 to 153 and at 154 to 158, have the same structure and the radio base station 150.

5 The radio base station 150 includes an interface 150A for connecting the radio channel control unit 102, an interface 150B for connecting the radio terminal A103 via a radio channel, a controller 150C and a memory 150D.

10 If the radio terminal A103 registered as a subscriber with the carrier A moves into the service zone 111 controlled by the carrier B, a radio connection becomes possible within the service zone 111, whereby basic service (outgoing and incoming call service) is
15 assured.

 The stipulated points of the standardized interfaces are the radio areas and control within the radio areas is unified. However, the line numbers used in order to make outgoing calls in each of the service
20 zones and the connecting network that intervenes in the communication route when a line is connected are quite likely to differ from carrier to carrier owing to the backbone network used, national policy, etc.

 For this reason, a terminal user merely obtains
25 communication-history information (the times at which communication started and stopped, information relating

to communicating parties, etc.) used as an extension of the conventional wired communication services and is incapable of calculating a communication charge from communication-history information.

5 In order to improve upon this situation, information is reported as necessary to the radio terminal from the radio network (e.g., the radio channel control unit 112 and the radio base stations BS) controlling the particular radio service zone after
10 authentication processing at connection of the radio channel. This is done so that the roaming terminal can manage communication-history information that makes calculation of communication charges possible.

 More specifically, by reporting country information
15 and wireless carrier information (e.g., information identifying the carrier B), which is necessary to identify the zone position of the radio terminal resides, as well as the carrier information (e.g., information identifying the carrier B) and connecting
20 network information (e.g., information identifying connecting networks 100A, 100B and 100C), which is basic information for selecting a connecting network, to the roaming radio terminal, an environment is established in which it is possible for the radio terminal to manage,
25 without placing a burden upon the user thereof, communication-history information through which the

communication charge of the roaming terminal can be calculated.

Fig. 2 is a block diagram illustrating the structure of the radio terminal apparatus 103. The radio terminal apparatus 103 includes a radio unit 201 for performing wireless sending and receiving; a baseband unit 202 for modulating and demodulating data; and a keypad 203 having a send key for making an outgoing call, a plurality of one-touch keys through which line numbers are registered, function keys such as abbreviated dialing keys pressed when abbreviated dialing is selected, and various other operating keys. A variety of information is entered by operating the keypad.

The radio terminal apparatus 103 further includes a voice processor 204 for coding and decoding voice data; a frame assembler/disassembler 205 for assembling and disassembling send/receive data in accordance with a send/receive frame format; a RAM 206 used as a work area in which various data is stored temporarily; a ROM 207 in which a control program and various control data area stored; and a controller 208, which is constituted by a CPU 208C, etc., for controlling the overall apparatus. Functionally speaking, the controller 208 is divided into a recognition section for recognizing in which radio network, from among a plurality of radio networks,

the radio terminal apparatus 103 currently resides, a timekeeping section calibrated by input of reference time information, an outgoing/incoming call control section and a creation section for creating

5 communication-history information using various information, which is obtained from the network, as basic information.

The radio terminal apparatus 103 further includes a battery 209 for driving the apparatus, handsets 210,
10 211, and a display unit 212 for displaying a communication charge per unit time, the line number of a communicating party, etc. Numeral 213 denotes a removable IC card having a memory.

An example in which information relating to user
15 attributes (line number, etc.) and communication-history information is stored in the RAM 206 will be described below, although the information may be stored on the IC card 213 if desired.

[Position registration sequence]

20 Fig. 3 is a diagram showing a procedure for reporting network area information at execution of a position-registration processing sequence in a W-CDMA mobile communication system.

In Fig. 3, a base station on the side of the radio
25 network sends a terminal a notification signal 301 to report information (carrier identifying information,

base-station identifying information, etc.) concerning the network to which the base station belongs.

In response to activation of the radio terminal or roaming of the radio terminal between radio cells, communication channel set-up (communication channel set-up request 302 and communication channel set-up acceptance) and access link set-up (access link set-up request 304 and access link set-up acceptance 305) is performed, in regard to the radio network transmitting the notification signal, by a trigger from the radio terminal that has recognized the necessity for position registration processing.

The terminal issues a position registration request 306 to the network when an exchange of logical control information has become possible. Upon accepting the request, the network executes authentication processing (authentication request 307 and authentication answer 308) with respect to the terminal, thereby verifying that the terminal is not unauthorized.

Thereafter, information 311 on the side of the network (network area information), namely connecting network identification number information and country information on the network side, is reported to the terminal as necessary (as when the position of this terminal is registered with the network for the first time). After the terminal verifies (312) that network

area information has been received normally, the network completes terminal position registration and sends back position registration acceptance (313).

Upon receiving the position registration request
5 306 via, e.g., the base station 155, the controller 102D of the radio channel control unit 112 determines whether identification information of the radio terminal A103 included in the position registration request 306 has already been registered in the memory 112E. If the
10 identification information of the radio terminal A103 has not yet been registered in the memory 112E, controller 102D stores the identification information of the radio terminal A103 in the memory 112E in association with the identification information of the
15 base station 155 and sends the radio terminal A103 the network information notification 311.

If the identification information of the radio terminal A103 has already been registered in the memory 112E, the controller 112D of the radio channel control
20 unit 112 does not send the terminal the network information notification 311. In a case where the identification information of the radio terminal A103 has been registered in association with a base station other than the base station 155, the identification
25 information of the radio terminal A103 that has been registered in association with the base station other

than base station 155 is erased and the identification information of the radio terminal A103 is registered in association with the base station 155.

The radio channel control unit 112 stores the
5 network area information in the memory 112E.

After this exchange of logical information is completed by this procedure and the logical link is severed owing to activation of the terminal (disconnect request 314 and disconnect answer 315), the access link
10 is released (access link release request 316 and access link release acceptance 317), the radio channel is disconnected.

Thus, after processing for verifying authentication at the time of radio channel connection between the
15 network and radio terminal, network area information is reported as necessary.

More specifically, the user of a radio terminal that has come under the control of this radio network because of roaming or some other reason is provided with
20 network area information (connecting network identification number information, country number information, etc.) concerning the network. The connecting network identification number information is information composed of numbers for identifying
25 respective ones of the connecting networks 100A, 100B and 100C. The country number information is the number

of the country in which the carrier is present.

The network area information includes information indicating the time in the country in which the carrier is present.

5 The network area information further includes standard billing information of the carrier. For example, if the radio terminal roams into the zone of carrier B, the network area information will contain information regarding this carrier's billing per unit
10 time.

The network area information further includes the network utilization method, such as the access number system.

Accordingly, the user of this radio terminal is
15 capable of acquiring basic information necessary to create communication-history information in regard to the network area into which the terminal roams.

[Outgoing/incoming call sequences]

The foregoing illustrates an example in which
20 network area information is transmitted at activation of the position registration sequence. Illustrated next will be an example in which, after execution of authentication processing in which notification is given during the course of an outgoing-call sequence and
25 incoming-call sequence, network area information is transmitted as necessary from the network side and

connecting network information is requested as necessary from the terminal side.

Fig. 4 is a diagram illustrating an outgoing-call sequence, and Fig. 5 is a diagram illustrating an
5 incoming-call sequence.

In the case of an outgoing call, as shown in Fig. 4, an outgoing call operation 401 serves as a trigger to set up a radio channel (communication channel set-up request 402, communication channel set-up acceptance
10 403, access link set-up request 404 and access link set-up acceptance 405) and execute authentication processing (authentication request 406 and authentication answer 407).

In the case of an incoming call, as shown in Fig. 5, an incoming-call signal 501 arriving at the terminal
15 serves as a trigger to set up a radio channel (communication channel set-up request 502, communication channel set-up acceptance 503, access link set-up request 504 and access link set-up acceptance 505) and
20 execute authentication processing (authentication request 506 and authentication answer 507).

Thereafter, the network side notifies (network area information notification 408, 508) the radio terminal of its own network area information (connecting network
25 identification number information, country number information and network utilization method, such as the

access number system) as necessary (as when the network
area information is changed on the network side and a
radio terminal, whose position was registered before the
change, places an outgoing call or receives an incoming
5 call the first time after the change).

In a case where the radio channel control unit 112
stores the identification information of the radio
terminal, the position of which has been registered, in
the memory 112E and the network area information is
10 changed, it is determined whether notification of the
changed network area information has been given to each
of the radio terminals whose identification information
has been stored in the memory 112E.

After the radio terminal verifies that the network
15 area information has been received normally (network
area information verification 409, 509), a call set-up
sequence on the outgoing-call side (call set-up 410,
call set-up acceptance 411 and paging 412) and a call
set-up sequence on the incoming-call side (call set-up
20 510, call set-up acceptance 511 and paging 512).

Thereafter, it is determined whether connecting
network information being used to set-up the
communication line is required on the side of the
terminal.

25 In a case where basic information for calculating a
communication charge on the side of the radio terminal

is inadequate, as when a connecting network selection number has not been added on to the line number at the time of an outgoing call or when an incoming call is a collect call, the terminal requests the network side for
5 connecting network information (connecting network information request 413, 513) and accepts from the network side, in the form of a connecting network information request answer message (connecting network information request answer 414, 514), the connecting
10 network information (connecting network identification information and standard billing information, etc.) being used in the currently set-up route of the communication line. The standard billing information contained in the connecting network information is the
15 charge, per unit time, which the connecting network collects from the user.

For example, if notification of standard billing information has already been received from the connecting network 100A and this information has been
20 stored in the RAM 206, then, when the selection number of the connecting network 100A is added onto the line number and sent with the call, the connecting network information requests 413, 513 will be unnecessary.

It should be noted that the radio channel control
25 unit 112 transmits, via the radio base station, an incoming-call signal onto which has been added data

indicating whether the incoming call is a collect call.
On the basis of this data, the radio terminal judges
whether the received incoming-call signal is a collect
call or not.

5 Thereafter, the communicating party answers (answer
415, answer verification 416) in case of an outgoing
call. In the case of an incoming call, the terminal
answers (answer 515, answer verification 516). A
transition is then made to the communicating state
10 (communication in progress 417, 517) in both cases.

Thus, after processing for verifying authentication
at the time of radio channel connection between the
network and radio terminal, network area information is
reported from the network to the radio terminal as
15 necessary.

More specifically, in addition to a radio terminal
that has come under the control of this radio network
because of roaming or some other reason, a radio
terminal that has already had its position registered
20 prior to a change in the network area information on the
network side (a change in the access number system,
etc.) is informed of the latest network area information
content and method of utilization.

Accordingly, it is possible to prevent a situation
25 in which basic information for creating communication-
history information is not the same on both the terminal

and network sides.

[Acceptance of notification of network information]

The foregoing illustrates the overall operation of the radio communication system. The processing

5 operation of the radio terminal apparatus will be described next.

Fig. 6 is a flowchart showing processing for accepting notification of network area information in a radio terminal apparatus activated when network area
10 information is received at the time of position registration, an outgoing call and an incoming call. The processing program is stored in the ROM 207 and is executed by the CPU 208C, which is a microcomputer within the controller 208.

15 When the network area information has been received (311, 408, 508), first it is determined whether the content of the received network area information (country number information, carrier access number information, area information, etc.) is different from
20 the content that has been registered in the RAM 206 (step S601).

If it is determined that the content has been changed, the zone position information (carrier identification information and country information),
25 selectable connecting network information and reference timekeeping information (time) contained in the received

network area information is stored in the RAM 206 (step S602). If it is determined that the content has not been changed, on the other hand, control proceeds to the next step without executing the update processing of
5 step S602. It should be noted that the received network area information may be stored in the RAM 206 if desired regardless of whether the registered content has been changed or not.

When the network area information is received, it
10 is determined whether the radio terminal is currently executing outgoing-call connection processing (step S603). If outgoing-call connection processing has not started, processing for accepting the network area information notification is terminated directly.

15 In a case where outgoing-call connection processing has started, on the other hand, it is determined (step S604) whether the fact that the digits of the line number entered for the sake of an outgoing call have been changed has been reported by the network area
20 information (408 in Fig. 4). If a change in the number digits is unnecessary, processing for accepting the network area information notification is terminated directly.

If a change is necessary, on the other hand, the
25 destination line-number digits set in the call set-up message are changed to number digits contained in the

network area information received at 408 in Fig. 4 (step S605) and processing for accepting network area information notification is terminated.

For example, assume that the selection number of the connecting network 100A prevailing prior to a change is entered and an outgoing-call operation is performed. If the network area information gives notification of the fact that the number for selecting the connecting network 100A has changed, the number for selecting the connecting network is changed to the number of which notification has been given by the network area information.

In a case where the radio channel control unit stores the selection number of the connecting network in the memory 112E before hand and the selection number of this connecting network is changed, network area information indicating that the selection number of the trunk system has changed is reported to the radio terminal.

As a result, the radio terminal, without any operation being performed by the user, is capable of acquiring basic information for creating communication-history information conforming to the network zone that is the destination of roaming.

[Creation of communication-history information]

The foregoing illustrates a method of acquiring

basic information for creating communication-history information. Described next will be the actual creation of communication-history information.

Fig. 7 is a diagram showing the content of communication-history information that has been stored in the RAM 206. The communication-history information includes communication starting time, communication end time, zone location, destination, connecting network, communication category and communication charge.

Fig. 8 is a flowchart showing processing at success of outgoing/incoming call processing when various items of communication-history information are stored. The processing program is stored in the ROM 207 and is executed by the CPU 208C within the controller 208. This processing starts in the radio terminal apparatus when processing for an outgoing/incoming call has succeeded.

First, in the outgoing/incoming call sequence, it is determined whether the network side has reported a change in the network area information (network area information notification 408, 508) (step S801). In a case where notification of a change in network area information has been given, the reported basic information (zone position information, selectable connecting network information, reference timekeeping information, standard billing information, etc.) is

stored in the RAM 206 (step S802). If a change in network area information has not been reported, the basic information in the RAM 206 is retained as is.

The exchanges between the terminal and network indicated at 410 to 412 in Fig. 4 and at 510 to 512 in Fig. 5 are then carried out.

It is determined whether it is necessary to verify the connecting network information (step S803).

A request for connecting network information is not made in the case of an outgoing call in which the terminal specifies a connecting network for which the basic information (standard billing information, etc.) has already been identified, in the case of an outgoing call based upon a collect call for which a communication charge is not incurred on the terminal side, and in the case of an ordinary (non-collect) incoming call.

If the above is not the case, however, i.e., in the case of an outgoing call in which the connecting network is not specified (that is, in which the selection of the connecting network is left to the radio network) and in the case of an incoming call based upon a collect call, it is determined that verification is required and the request for connecting network information is issued (connecting network information requests 413, 513). The basic information (in-use connecting network information, standard billing information, etc.) that

has been stored in the RAM 206 is updated in accordance with the answer information (connecting network information request answer 414, 514) from the network side (step S804).

5 Here the standard billing information is the charge per unit time which the connecting network being used collects from the user.

 It should be noted that the radio channel control unit 112 transmits, via the radio base station, an
10 incoming-call signal that includes data indicating whether the call is a collect call. On the basis of this data, the radio terminal determines whether the incoming-call signal is a collect call.

 Further, whether an outgoing call is one that did
15 or did not specify a connecting network is determined by whether a number for specifying the connecting network was entered with a number entered from the keypad 203 for the purpose of placing an outgoing call.

 Finally, communication starting time, zone position
20 (carrier + country information), destination (line number of communication party + carrier + country information), connecting network and communication category (outgoing call, incoming call, collect call or not, etc.) are stored in the communication-history
25 information of RAM 206 in accordance with the content of the basic information (step S805) and processing is then

terminated.

Fig. 9 is a flowchart showing disconnect processing when a communication line is disconnected. The processing program is stored in the ROM 207 and is
5 executed by the CPU 208C within the controller 208.

First, the time at which communication ended is stored in the communication-history information in accordance with the content of the basic information (step S901), then it is determined from the
10 communication category whether the communication charge is to be borne by oneself (step S902).

If the charge is to be borne by oneself, the communication charge is calculated based upon standard billing information, which is being retained as the
15 basic information, after the time that was necessary for communication is calculated, and the calculated communication charge is then stored in the communication-history information (step S903). Processing is then terminated. The communication charge
20 calculated is displayed on the display unit 202.

The standard billing information for calculating the communication charge from the communication time, zone position (carrier + country information), destination (line number of communication party +
25 carrier + country information) and connecting network has been stored in the RAM 206 in advance. The CPU 208C

calculates the communication charge by referring to the information stored in RAM 206.

If it is found at step S902 that the communication charge is not to be borne by oneself, processing is
5 terminated directly.

Fig. 10 is a flowchart showing processing at success of handover processing. The processing program is stored in the ROM 207 and is executed by the CPU 208C within the controller 208. This processing starts when
10 handover processing has succeeded.

First, it is determined whether handover is accompanied by roaming (step S1001). Handover is a function in which communication is allowed to continue without interruption even when a radio terminal moves
15 from one radio cell to another radio cell during communication. In case of handover not accompanied by roaming, processing is terminated directly. It should be noted that handover not accompanied by roaming refers to a case where the radio terminal moves from one cell
20 to another within a service zone provided by a single carrier.

In case of handover accompanied by roaming, i.e., in a case where the carrier to which the radio terminal is connected before handover is different from that
25 after handover, communication end time at the previous zone position of the terminal is recorded in the

communication-history information. Concurrently,
communication start time at the new zone position of the
terminal, the zone position (carrier + country
information), destination (line number of the
5 communicating party + carrier + country information),
connecting network, communication category (outgoing
call, incoming call, collect call or not) are stored in
the communication-history information (step S1002). The
new zone position (carrier + country information) and
10 the connecting network is reported from the network into
which the radio terminal roamed.

It is determined from the communication category
whether the communication charge is to be borne by
oneself (step S1003). If the charge is to be borne by
15 oneself, the communication charge is calculated based
upon standard billing information, which is being
retained as the basic information, and the communication
time, zone position (carrier + country information),
destination (carrier line number + carrier + country
20 information) and connecting network, after the time that
was necessary for communication at the previous position
is calculated, and the calculated communication charge
is then stored in the communication-history information
that prevailed at the previous zone position (step
25 S1004). Processing is then terminated. If the charge
is not to be borne by oneself, then processing is

terminated directly.

Thus, management of communication charges on the side of the radio terminal apparatus can be achieved by managing communication-history information even in a
5 case where handover is performed.

[Incoming collect call]

The foregoing illustrates a method of acquiring basic information for creating communication-history information as well as an example of creation of the
10 communication-history information. Described next will be processing for when an incoming call that is a collect call is received.

Fig. 11 is a flowchart showing processing for referring to a received call set-up message in a case
15 where an incoming collect call is accepted. The processing program is stored in the ROM 207 and is executed by the CPU 208C, which is a microcomputer within the controller 208. This processing starts when a call set-up message has been received.

20 In the case of a collect call, the radio base station transmits a call set-up message (the incoming-call signal 501 in Fig. 5) that includes data indicative of the collect call.

The terminal refers to the call set-up message that
25 accompanies the incoming call and determines whether the incoming call is a collect call (step S1101). If the

call is not a collect call, processing is terminated directly. If the call is a collect call, the network is requested for the basic information concerning the connecting network used in this incoming call (step
5 S1102; 513 in Fig. 5).

It is determined whether the connecting network information acceptance message (514 in Fig. 5) that is in response to this request could be recognized normally (step S1103). If the message could be recognized
10 normally, the fact that the incoming call is a collect call, the connecting network of this incoming call and a communication charge estimate per unit time are stored in the RAM 206 (the communication-history information of Fig. 7). The connecting networks of this incoming call
15 and the communication charge estimate per unit time are capable of being stored on the IC card 213. If the message could not be recognized normally, on the other hand, the fact that the incoming call is a collect call is displayed on the display unit 212 (step S1105).
20 Processing is then terminated.

As a result, the user of the radio terminal apparatus is capable of recognizing that an incoming call is a collect call and of ascertaining a summary of the communication cost before performing an answering
25 operation.

Thus, with the above-described radio communication

apparatus, as illustrated above, a radio network reports
radio network information to a radio communication
terminal as necessary after execution of processing for
authentication performed between the radio network and
5 the radio communication terminal when a radio channel is
connected between the network and terminal.

A radio communication terminal that has come under
control of a new radio network as a result of roaming or
the like recognizes information concerning this radio
10 network (connecting network identification information,
country information, reference timekeeping information,
standard billing information, etc.) autonomously and
temporarily stores this information temporarily as basic
information for communication-history information.

15 When set-up of a communication line has been
completed, the radio communication terminal requests the
network for information relating to the connecting
network, as a result of which information concerning the
connecting network (connecting network identification
20 information, billing information, etc.) is recognized
autonomously and stored temporarily as basic information
for history information.

When the communication-history information is
stored, information such as time, zone position,
25 connecting network used and communication charge
calculated based upon the basic information also is

stored, thereby implementing communication charge management on the side of the radio terminal based upon the communication-history information.

Further, information as to whether an incoming call
5 is a collect call is added onto the call set-up message from the side of the network when an incoming call arrives.

The radio communication terminal senses whether the communication charge of an incoming call is to be borne
10 by the terminal. If the charge is to be borne, the radio terminal queries the network for the information concerning the connecting network of the incoming call before answering, calculates the per-unit-time communication charge of the incoming call based upon the
15 information concerning the connecting network and displays the charge on a display unit. As a result, before the radio terminal answers the incoming call, it is possible for the radio terminal to ascertain a summary of the per-unit-time communication cost of the
20 incoming call.

When selection of a connecting network is left to the radio network, the radio network is queried for information concerning the connecting network of an outgoing call after a communication line is set up
25 (i.e., after a paging message is received from the radio network), the per-unit-time communication charge of the

outgoing call is calculated from the information concerning the connecting network, and the charge is displayed on the display unit.

A communication charge calculated based upon a per-unit-time communication charge for each call is stored with the communication-history information, thereby making it possible to implement management of communication charges on the side of the radio terminal based upon more detailed communication-history information.

The example illustrated in the foregoing embodiment is that of a W-CDMA mobile communication system, which is a candidate for an IMT (International Mobile Telecommunication) 2000 system that is a radio communication system contrived for roaming. However, the present invention is effective also in a case where communication-history information is managed in a system (a narrow-band CDMA mobile communication system, GSM, PDC, etc.) that presumes a roaming connection.

In the foregoing embodiment, the communication-history information may be stored on the IC card 213 or in the RAM 206 storing the user information (line number, etc.).

The content of communication-history information that has been stored on the removable IC card 213 having a memory or in the RAM 206 can be viewed by an operation

which the user performs using the keypad 203. When the operation to view the information is performed, a password may be entered beforehand to assure that privacy is protected.

5 It goes without saying that the invention is applicable also to a case where the object of the invention is attained by supplying a radio terminal with a program. In such case it would be possible for the storage medium storing the program represented by
10 software for achieving the present invention to be read out to a system or apparatus, whereby the system or apparatus would manifest the effects of the invention.

 Fig. 12 is a diagram showing a memory map of the ROM 207 serving as the storage medium. The ROM 207
15 stores a module indicated by the flowchart of Fig. 6, namely the module of a processing program for accepting notification of network area information, a module indicated by the flowchart of Fig. 8, namely the module of a processing program for processing at success of
20 outgoing/incoming call processing, a module indicated by the flowchart of Fig. 9, namely the module of a disconnect processing program, a module indicated by the flowchart of Fig. 10, namely the module of a processing program for processing at success of handover
25 processing, and a module indicated by the flowchart of Fig. 11, namely the module of a processing program for

referring to a received call set-up message.

Further, the storage medium supplying the program modules is not limited to a ROM but can be a floppy disk, hard disk, optical disk, magneto-optical disk, CD-ROM, CD-R, DVD, magnetic tape or non-volatile type memory card.

It goes without saying that the present invention further covers a case where, after the program codes read from the storage medium are written in a function expansion board inserted into the computer or in a memory provided in a function extension unit connected to the computer, a CPU or the like contained in the function expansion board or function expansion unit performs a part of or the entire process in accordance with the designation of program codes and implements the function of the above embodiment.

As many apparently widely different embodiments of the present invention can be made without departing from the spirit and scope thereof, it is to be understood that the invention is not limited to the specific embodiments thereof except as defined in the appended claims.

WHAT IS CLAIMED IS:

1. A radio communication apparatus comprising:
receiving means for receiving data on a
communication line in accordance with a registration
5 sequence with a communication network; and
output means for outputting a communication charge
in accordance with the data received by said receiving
means.
2. The apparatus according to claim 1, further
10 comprising requesting means for requesting a radio
network to send the data on the communication line.
3. The apparatus according to claim 2, wherein said
requesting means requests the radio network, the
procedure of which has been changed, to send the data.
- 15 4. The apparatus according to claim 2, wherein said
requesting means requests the radio network to send data
relating to a collect call.
5. The apparatus according to claim 1, wherein the data
includes data for identifying a connecting network for
20 connecting the communication network and another network
which connects a communicating party.
6. The apparatus according to claim 1, wherein said
receiving means receives the data in accordance with a
roaming sequence.
- 25 7. The apparatus according to claim 1, wherein said
receiving means receives time data on the communication

line.

8. The apparatus according to claim 1, wherein said output means outputs a communication charge incurred by a collect call.

5 9. The apparatus according to claim 1, wherein said output means outputs a communication charge per unit of time.

10 10. The apparatus according to claim 1, wherein said output means outputs a communication charge incurred by handover communication implemented by a roaming service.

11. The apparatus according to claim 1, wherein said output means stores the communication charge in a removable memory.

15 12. The apparatus according to claim 1, wherein said receiving means receives country data relating to the communication line.

13. The apparatus according to claim 1, wherein said output means outputs a communication history that includes the communication charge.

20 14. The apparatus according to claim 1, wherein said output means outputs a communication history in accordance with the data on the communication line.

15. The apparatus according to claim 1, wherein said output means outputs a communication history that
25 includes information indicating locations where calls are made.

16. The apparatus according to claim 1, wherein said output means outputs a communication history that includes information indicating a collect call.

17. The apparatus according to claim 1, wherein
5 identification data identifying the data communication apparatus is registered in the communication network in the registration sequence.

18. The apparatus according to claim 1, wherein said receiving means receives the data in an incoming-call
10 sequence.

19. The apparatus according to claim 1, wherein said receiving means receives the data in an outgoing-call sequence without specifying a connecting network for connecting the communication network and another network
15 to which a communicating party is to be connected.

20. A method for outputting a communication charge from a radio communication apparatus, comprising the steps of:

receiving data on a communication line in
20 accordance with a registration sequence with a communication network; and

outputting a communication charge in accordance with the data received at said receiving step.

21. A memory for storing a program comprising steps of:
25 receiving data on a communication line in accordance with a registration sequence with a

communication network; and

outputting a communication charge in accordance with the data received at said receiving step.

22. A radio communication apparatus comprising:

5 receiving means for receiving data on a communication line in accordance with a roaming sequence with a communication network; and

output means for outputting a communication charge in accordance with the data received by said receiving
10 means.

23. A method for outputting a communication charge, comprising the steps of:

receiving data on a communication line in accordance with a roaming sequence with a communication
15 network; and

outputting a communication charge in accordance with the data received at said receiving step.

24. A memory for storing a program comprising the steps of:

20 receiving data on a communication line in accordance with a roaming sequence with a communication network; and

outputting a communication charge in accordance with the data received at said receiving step.

25 25. A radio communication apparatus comprising:

receiving means for receiving data on a

communication line in accordance with an incoming call;
and

output means for outputting a communication charge
in accordance with the data received by said receiving
5 means.

26. A method for outputting a communication charge,
comprising the steps of:

receiving data on a communication line in
accordance with an incoming call; and
10 outputting a communication charge in accordance
with the data received at said receiving step.

27. A memory for storing a program comprising the steps
of:

receiving data on a communication line in
15 accordance with an incoming call; and
outputting a communication charge in accordance
with the data received at said receiving step.

28. A radio communication apparatus comprising:

sending means for sending an outgoing-call signal
20 to a communication network;

judging means for judging whether a request signal
for requesting data on a communication line should be
sent by said sending means, this depending upon whether
the outgoing-call signal includes data for specifying a
25 connecting network which connects the communication
network and another network connecting a communicating

party; and

output means for outputting a communication charge
in accordance with the data on the communication line.

29. A method for outputting a communication charge,
5 comprising the steps of:

sending an outgoing-call signal to a communication
network;

judging whether a request signal for requesting
data on a communication line should be sent at said
10 sending step, this depending upon whether the outgoing-
call signal includes data for specifying a connecting
network which connects the communication network and
another network connecting a communicating party; and

outputting a communication charge in accordance
15 with the data on the communication line.

30. A memory for storing a program comprising the steps
of:

sending an outgoing-call signal to a communication
network;

20 judging whether a request signal for requesting
data on a communication line should be sent at said
sending step, this depending upon whether the outgoing-
call signal includes data for specifying a connecting
network which connects the communication network and
25 another network connecting a communicating party; and
outputting a communication charge in accordance

with the data on the communication line.

31. A radio network comprising:

connecting means for connecting a radio terminal
via a radio channel; and

5 notification means for notifying the radio terminal
in a registration sequence of data on a communication
line for enabling the radio terminal to calculate a
communication charge.

32. A method for enabling a network to calculate a
10 communication charge, comprising the steps of:

executing a registration sequence between a radio
network and a radio terminal; and

transferring data on a communication line in the
registration sequence from the radio network to the
15 radio terminal for enabling the radio terminal to
calculate the communication charge.

33. A radio network comprising:

connecting means for connecting a radio terminal
via a radio channel; and

20 notification means for notifying the radio terminal
in accordance with a collect call of data on a
communication line for enabling the radio terminal to
calculate a communication charge.

34. A method for enabling a network to calculate a
25 communication charge, comprising the steps of:

executing an incoming-call sequence between a radio

network and a radio terminal; and

transferring data on a communication line from the
radio network to the radio terminal for enabling the
radio terminal to calculate the communication charge in
5 a case where a collect call is specified in the
incoming-call sequence.

35. A radio network comprising:

connecting means for connecting a radio terminal
via a radio channel; and

10 notification means for notifying the radio terminal
of data on a communication line for enabling the radio
terminal to calculate a communication charge in a case
where a connecting network which connects the radio
network and another network connecting a communicating
15 party has been specified.

36. A method for enabling a network to calculate a
communication charge, comprising the steps of:

executing an outgoing-call sequence between a radio
network and a radio terminal; and

20 transferring data on a communication line from the
radio network to the radio terminal for enabling the
radio terminal to calculate the communication charge in
a case where the outgoing-call sequence is executed
without specifying a connecting network which connects
25 the radio network and another network connecting a
communicating party.

ABSTRACT OF THE DISCLOSURE

A radio network transmits network information to a radio terminal when the position of the radio terminal is registered. If the network information has changed
5 when the radio terminal places an outgoing call or receives an incoming call, the radio network transmits network information after the change. The network information includes information identifying a network used to make a connection to another network, and
10 information relating to communication charges. The radio terminal calculates communication charges using the network information.

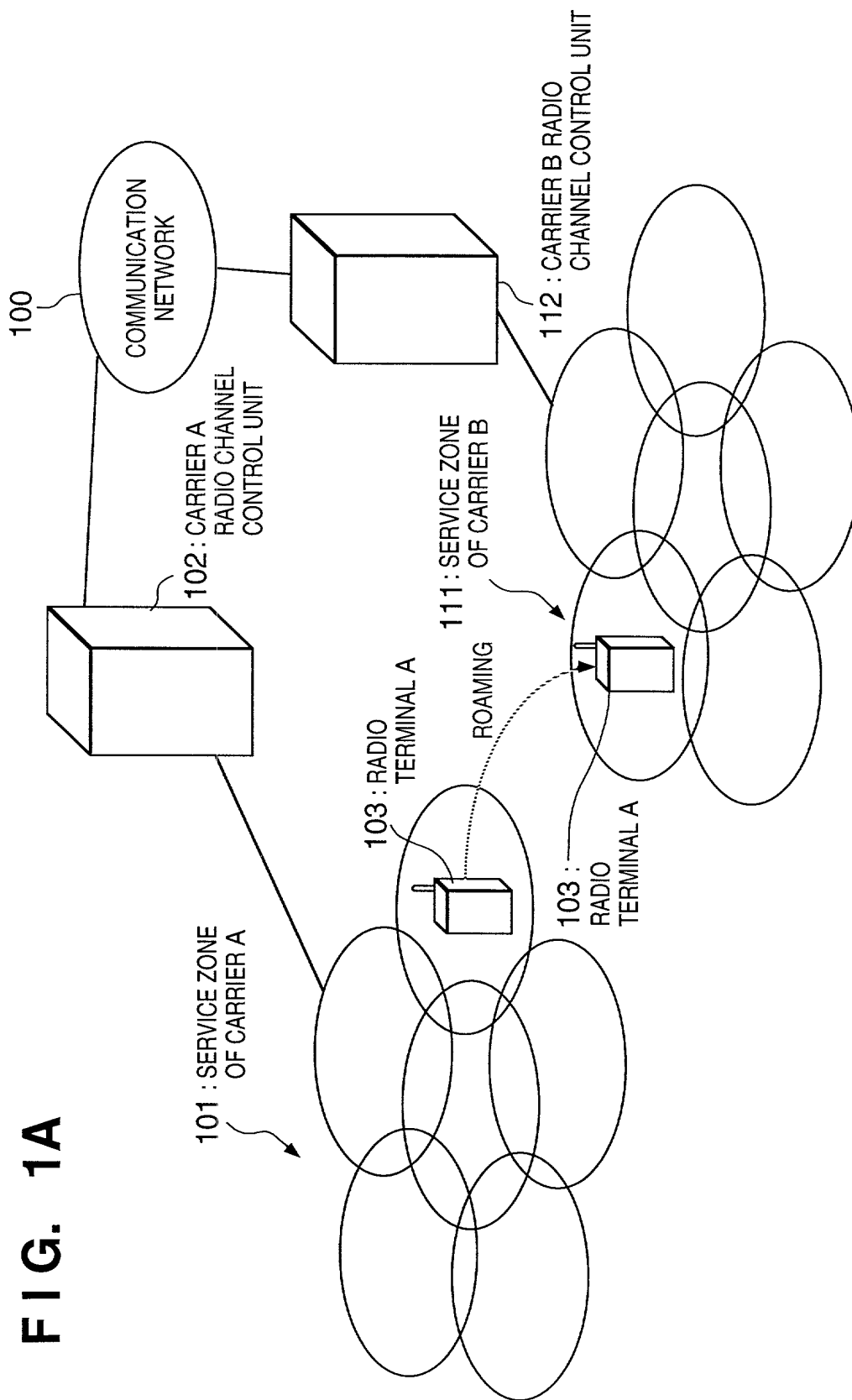


FIG. 1B

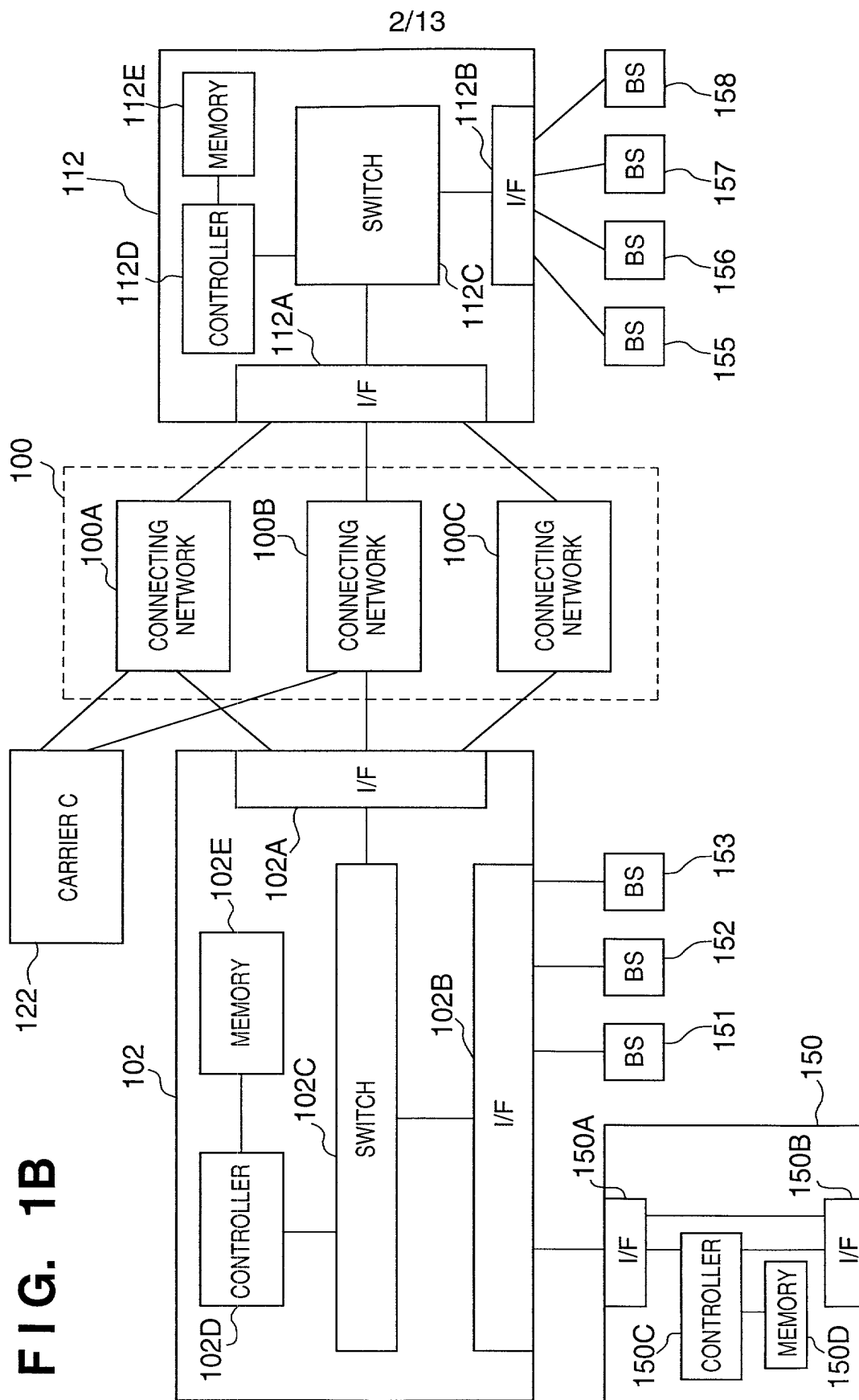


FIG. 2

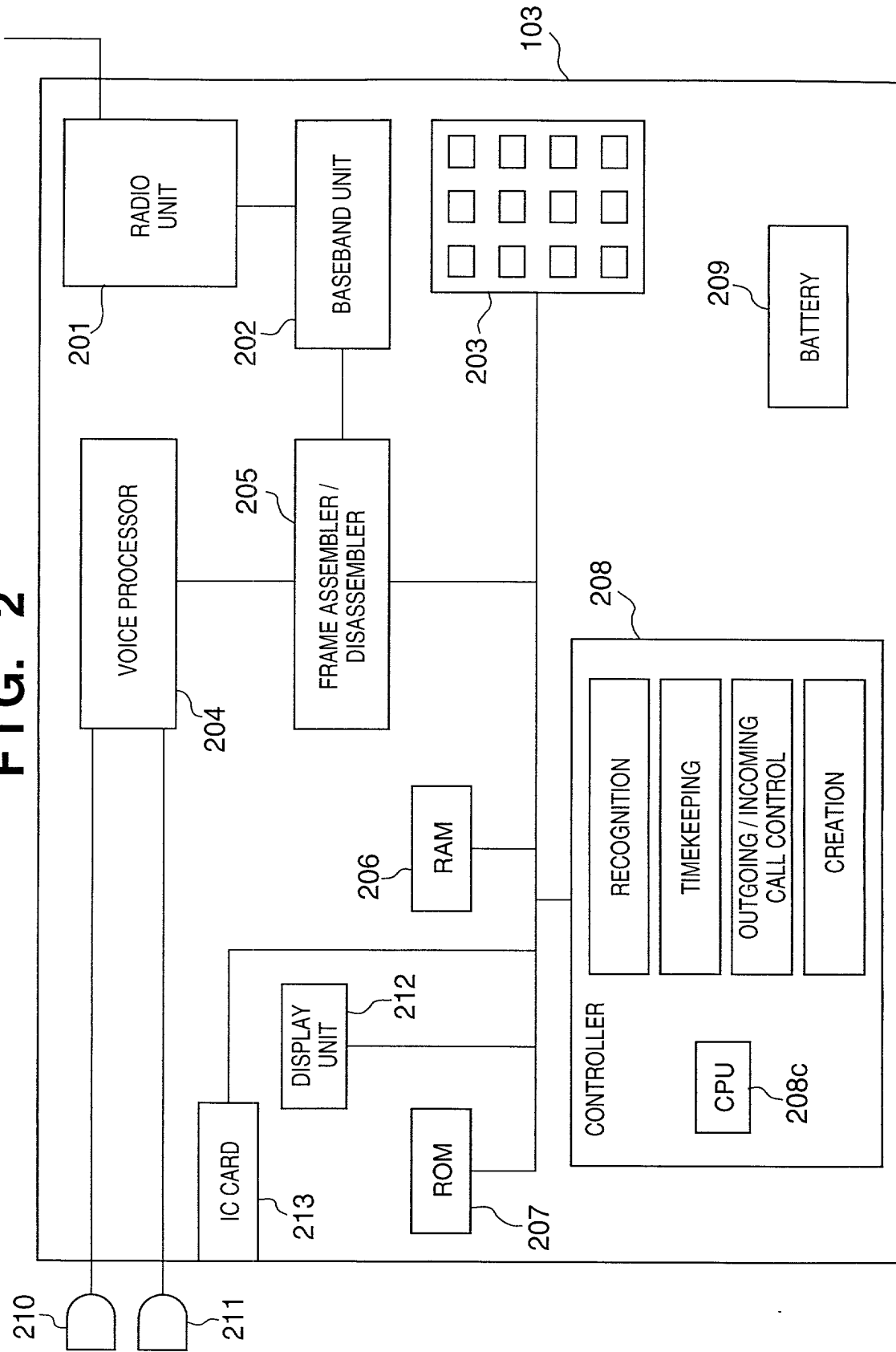


FIG. 3

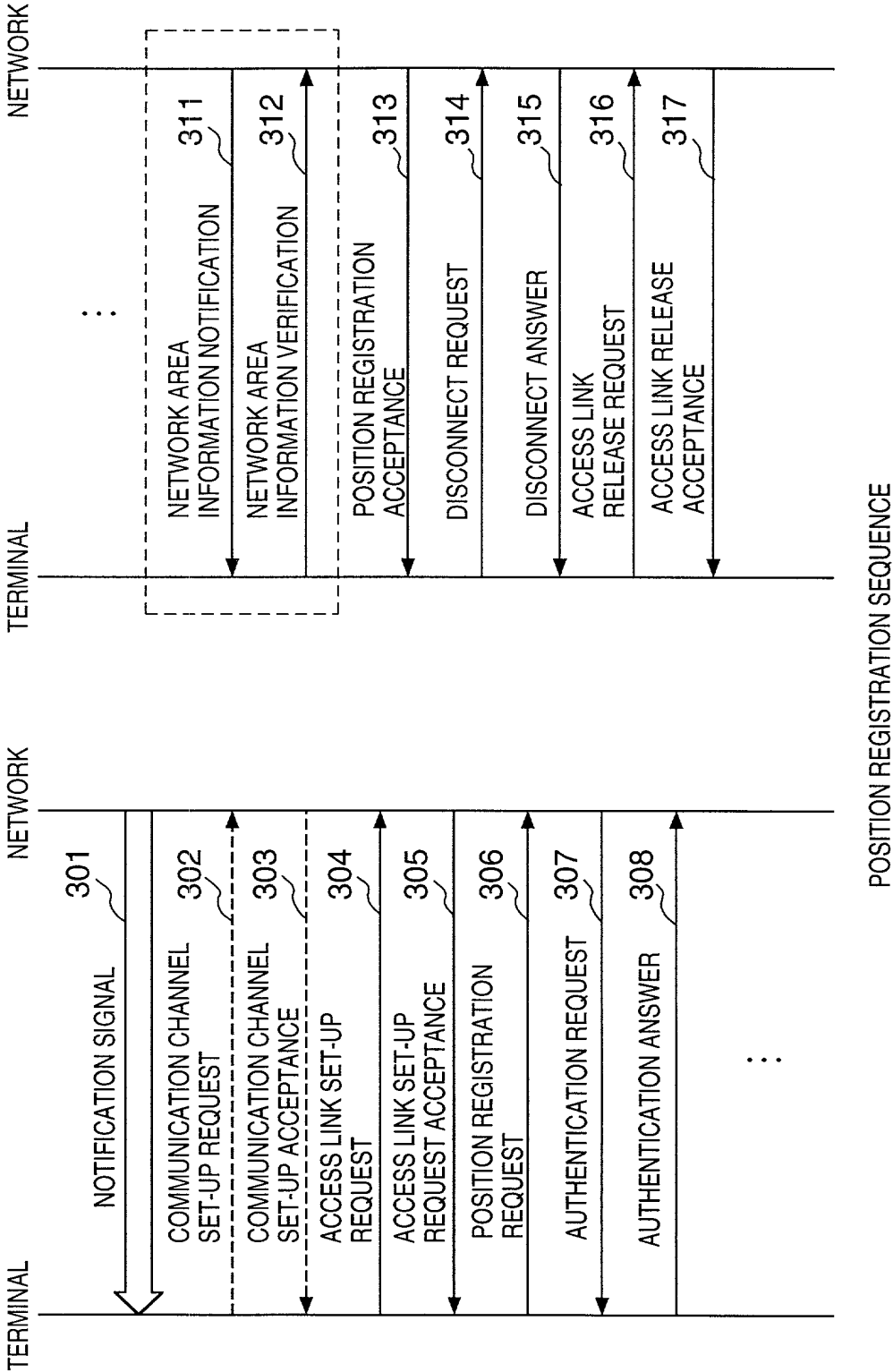


FIG. 4

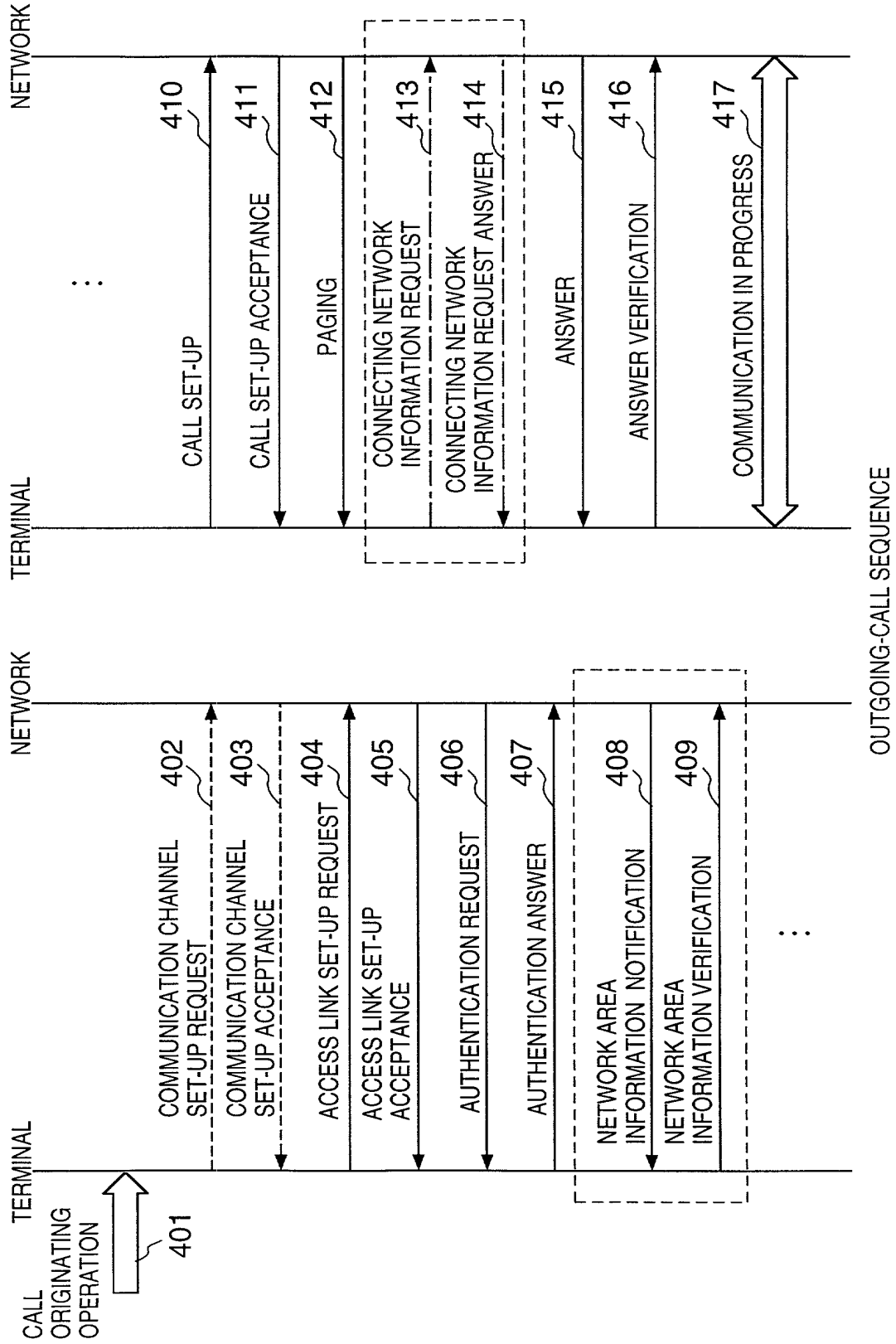


FIG. 5

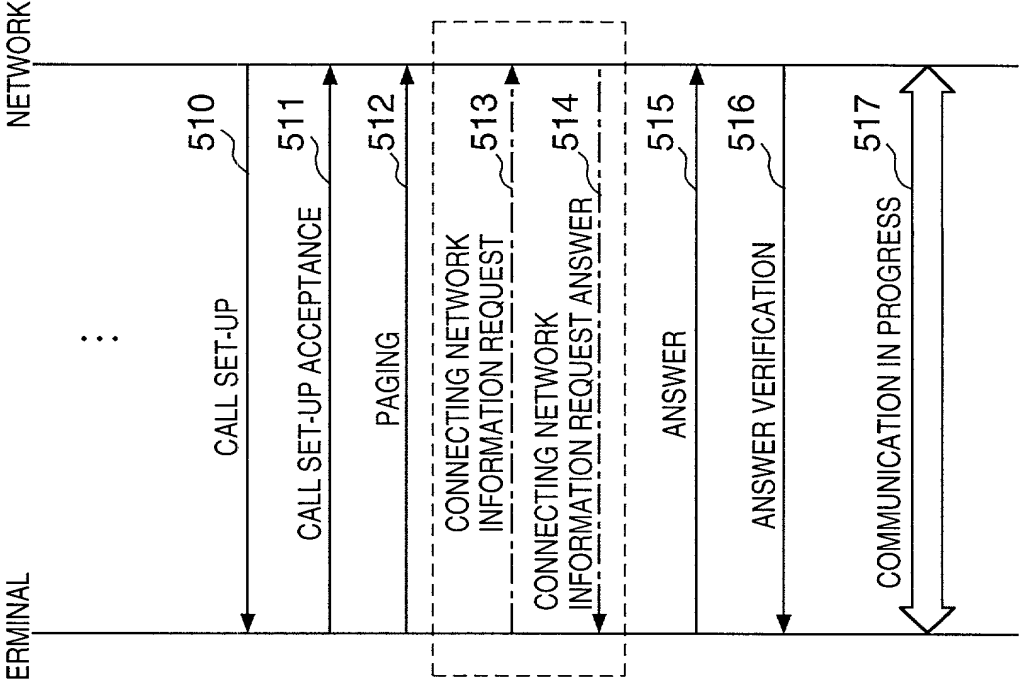
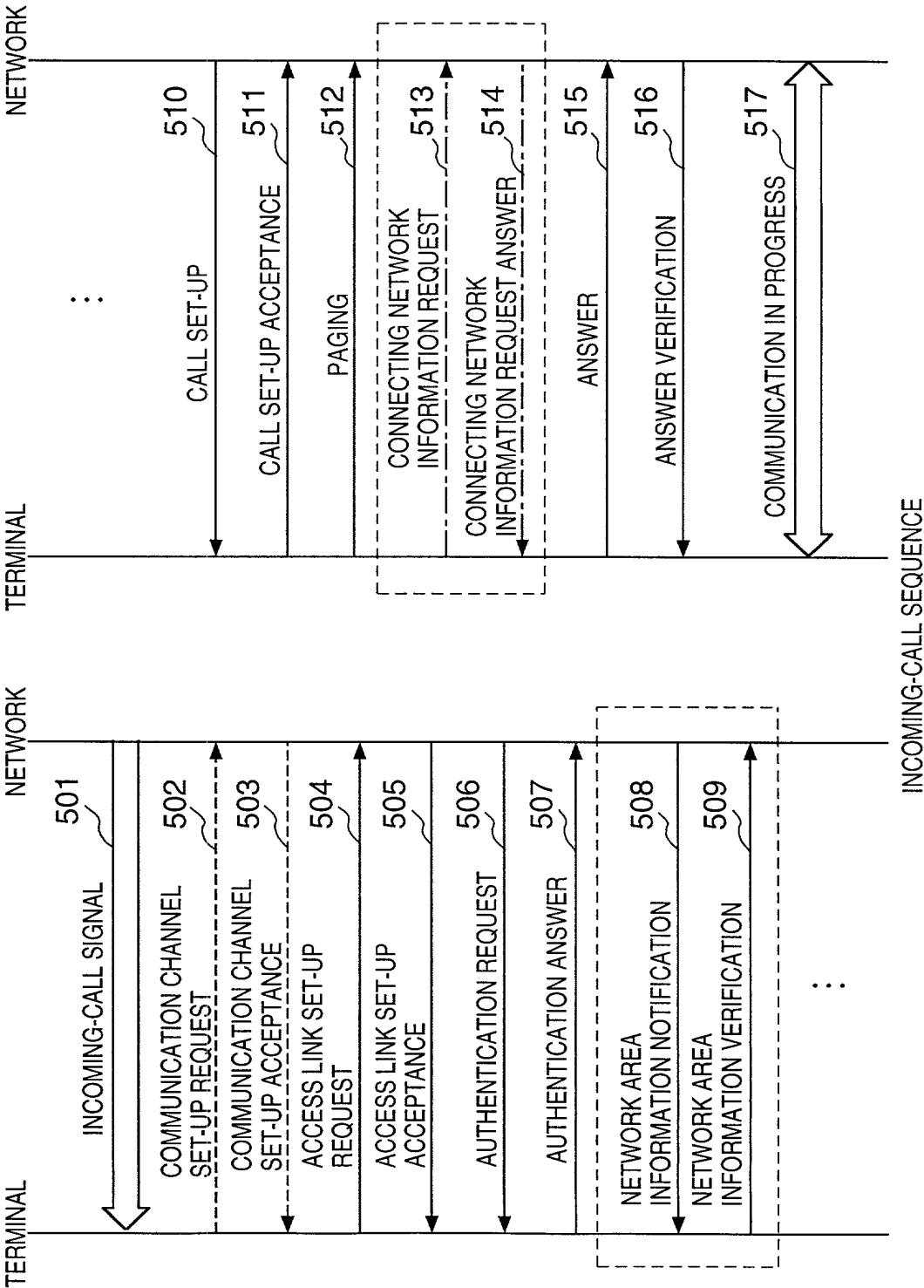


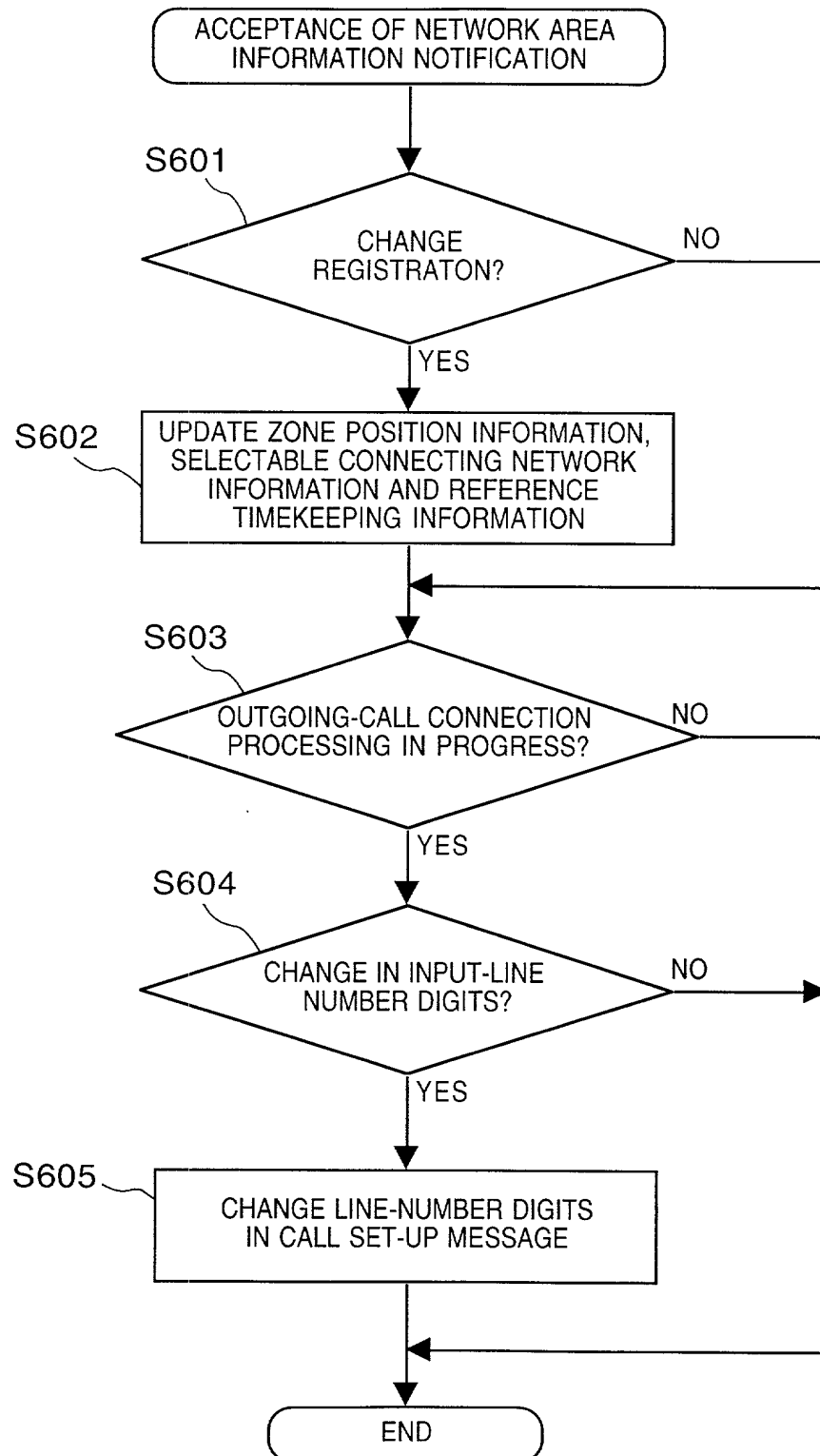
FIG. 6

FIG. 7

START OF COMMUNICATION	END OF COMMUNICATION	ZONE POSITION	DESTINATION	CONNECTING NETWORK	COMMUNICATION CATEGORY	COMMUNICATION CHARGE
1999/10/10 11:11:21 (JST)	1999/10/10 11:18:45 (JST)	AREA OF MOBILE CARRIER A1 (COUNTRY a)	SUBSCRIBER TERMINAL OF MOBILE CARRIER C1 (COUNTRY a) 080-XXX-XXXX	DOMESTIC CONNECTING CARRIER A1	OUTGOING CALL	380 YEN
1999/10/10 12:15:36 (JST)	1999/10/10 12:19:46 (JST)	AREA OF MOBILE CARRIER A1 (COUNTRY a)	STATIONARY TERMINAL OF STATIONARY CARRIER A1 (COUNTRY a) 045-XXX-XXXX	DOMESTIC CONNECTING CARRIER B1	INCOMING CALL (COLLECT)	280 YEN
1999/10/10 12:19:47 (JST)	1999/10/10 12:22:32 (JST)	AREA OF MOBILE CARRIER A2 (COUNTRY a)	STATIONARY TERMINAL OF STATIONARY CARRIER A1 (COUNTRY a) 045-XXX-XXXX	DOMESTIC CONNECTING CARRIER B1	INCOMING CALL (COLLECT)	230 YEN
1999/10/10 14:11:51 (JST)	1999/10/10 14:18:25 (JST)	AREA OF MOBILE CARRIER A2 (COUNTRY a)	SUBSCRIBER TERMINAL OF MOBILE CARRIER A2 (COUNTRY a) 080-XXX-XXXX	NOT SPECIFIED	OUTGOING CALL (COLLECT)	—
1999/10/11 12:11:31 (GMT)	1999/10/11 12:18:25 (GMT)	AREA OF MOBILE CARRIER B1 (COUNTRY b)	STATIONARY TERMINAL OF STATIONARY CARRIER A1 (COUNTRY a) 045-XXX-XXXX	—	INCOMING CALL	—
1999/10/11 12:21:41 (GMT)	1999/10/11 12:28:25 (GMT)	AREA OF MOBILE CARRIER B1 (COUNTRY b)	STATIONARY TERMINAL OF STATIONARY CARRIER A1 (COUNTRY a) 045-XXX-XXXX	DOMESTIC CONNECTING CARRIER C1 INTERNATIONAL CONNECTING CARRIER A1	OUTGOING CALL	1200 YEN

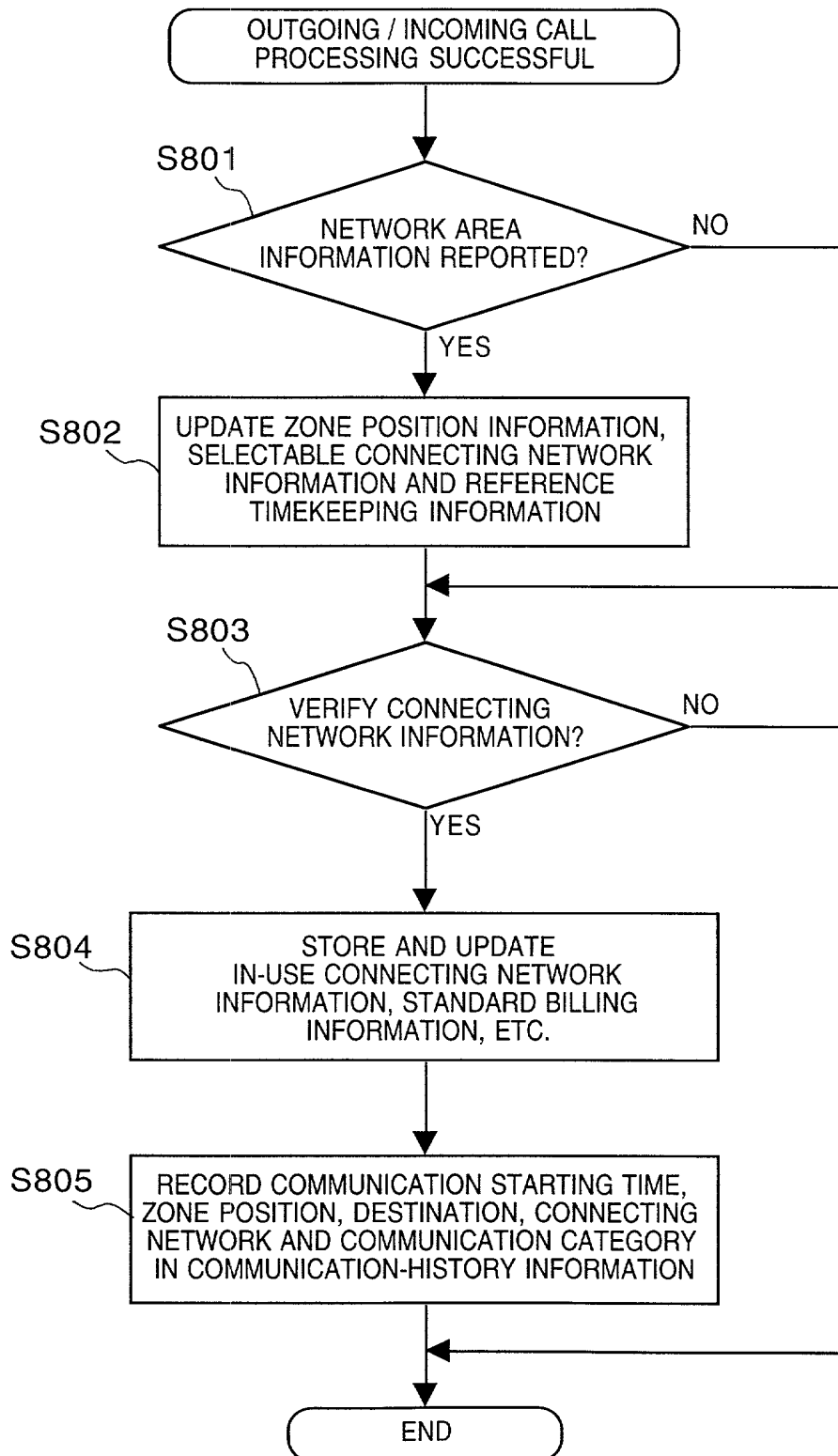
FIG. 8

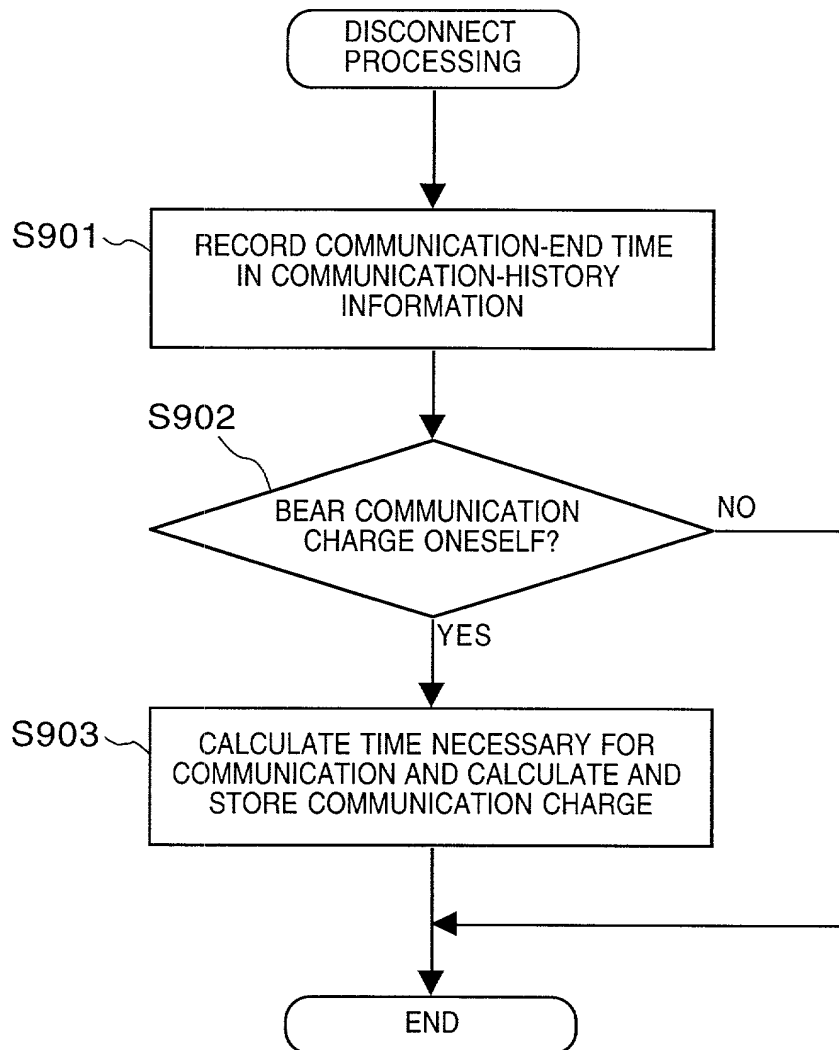
FIG. 9

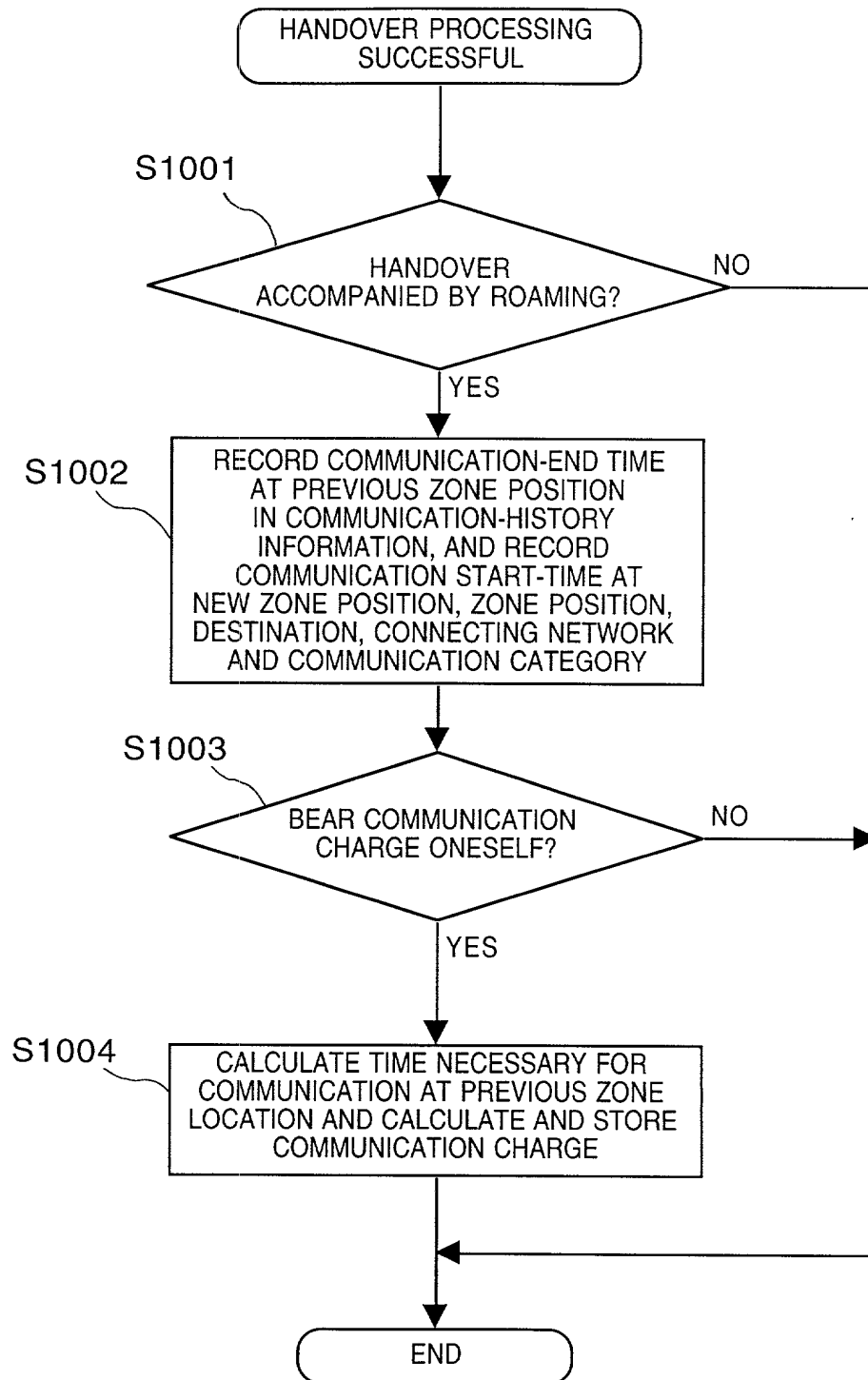
FIG. 10

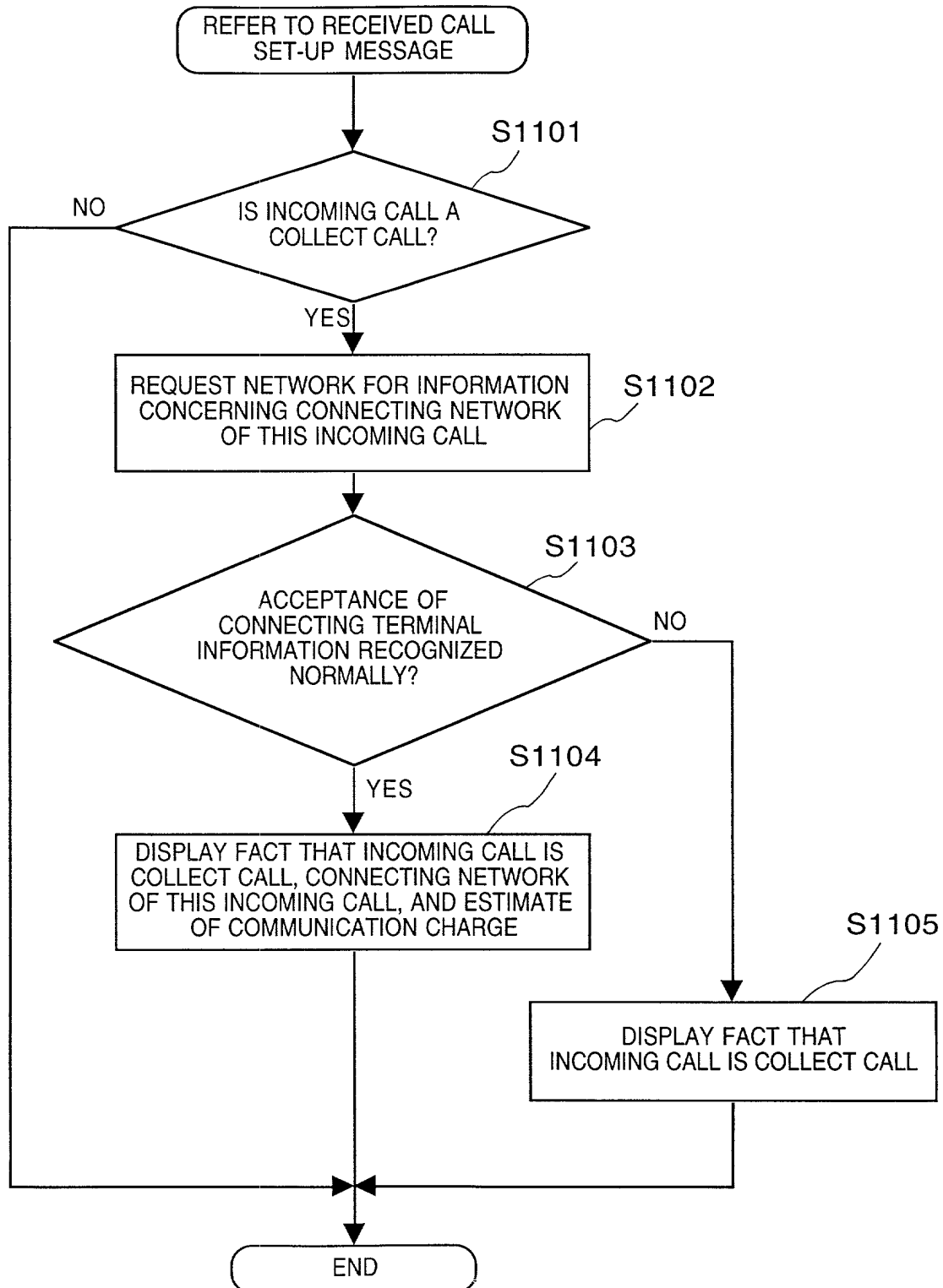
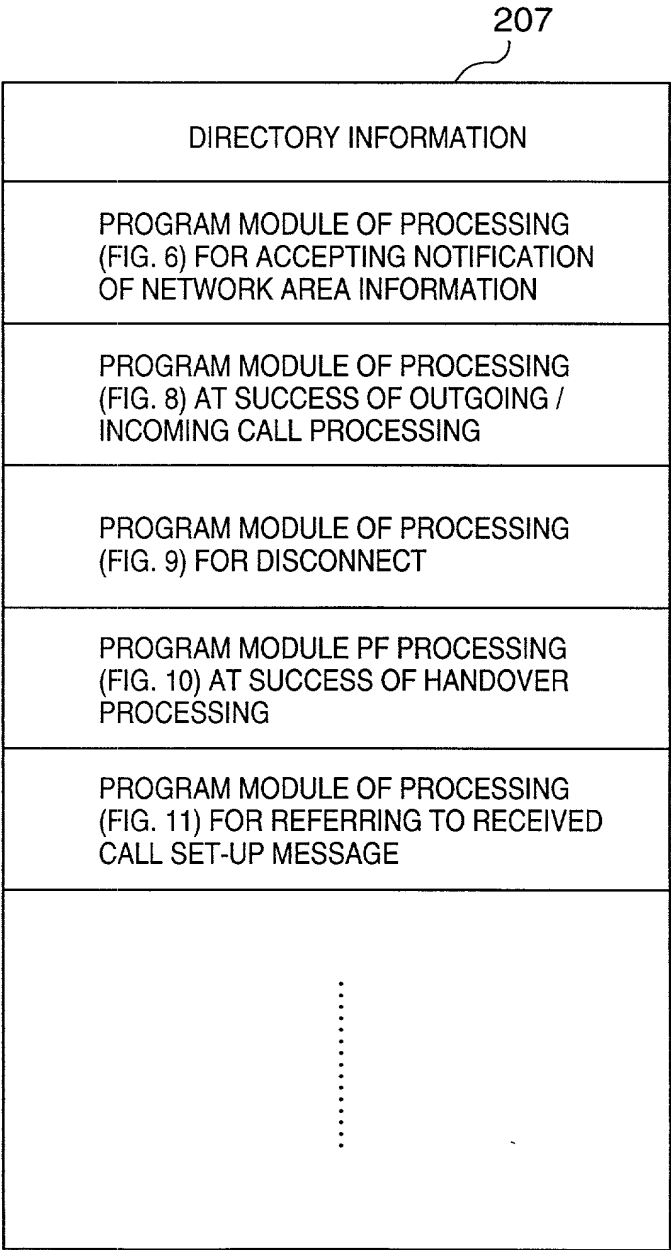
FIG. 11

FIG. 12



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Docket No. _____

COMBINED DECLARATION AND POWER OF ATTORNEY FOR
ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL,
DIVISIONAL, CONTINUATION OR CONTINUATION-IN-PART APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMMUNICATION APPARATUS

the specification of which

a. ☒ is attached hereto

b. ☐ was filed on _____ as application Serial No. _____ and
was amended on _____ (if applicable).

PCT FILED APPLICATION ENTERING NATIONAL STAGE

C. ☐ was described and claimed in International Application No. _____ filed on
_____ and as amended on _____ (if any).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby specify the following as the correspondence address to which all communications about this application are to be directed:

SEND CORRESPONDENCE TO: MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, N.Y. 10154

DIRECT TELEPHONE CALLS TO: MICHAEL M. MURRAY
(212) 758-4800

☒ I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or under § 365(b) of any foreign application(s) for patent or inventor's certificate or under § 365(a) of any PCT international application(s) designating at least one country other than the U.S. listed below and also have identified below such foreign application(s) for patent or inventor's certificate or such PCT international application(s) filed by me on the same subject matter having a filing date within twelve (12) months before that of the application on which priority is claimed:

☒ The attached 35 U.S.C. § 119 claim for priority for the application(s) listed below forms a part of this declaration.

CFM175705
C3172

Country/PCT	Application Number	Date of filing (day,month,yr)	Date of issue (day,month,yr)	Priority Claimed
JAPAN	10-375884	21/12/1998		<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
				<input type="checkbox"/> YES <input type="checkbox"/> NO
				<input type="checkbox"/> YES <input type="checkbox"/> NO

☐ I hereby claim the benefit under 35 U.S.C. § 119(e) of any U.S. provisional application(s) listed below.

Provisional Application No.

Date of filing (day, month, yr)

**ADDITIONAL STATEMENTS FOR DIVISIONAL, CONTINUATION OR CONTINUATION-IN-PART
OR PCT INTERNATIONAL APPLICATION(S) DESIGNATING THE U.S.)**

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s) or under § 365(c) of any PCT international application(s) designating the U.S. listed below.

US/PCT Application Serial No.	Filing Date,	Status (patented, pending, abandoned)/ U.S. application no. assigned (For PCT)

US/PCT Application Serial No.	Filing Date,	Status (patented, pending, abandoned)/ U.S. application no. assigned (For PCT)

☐ In this continuation-in-part application, insofar as the subject matter of any of the claims of this application is not disclosed in the above listed prior United States or PCT international application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or Imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys and/or agents with full power of substitution and revocation, to prosecute this application, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith: John A. Diaz (Reg. No. 19,550), John C. Vassil (Reg. No. 19,098), Alfred P. Ewert (Reg. No. 19,887), David H. Pfeiffer, P.C. (Reg. No. 19,825), Harry C. Marcus (Reg. No. 22,390), Robert E. Paulson (Reg. No. 21,046), Stephen R. Smith (Reg. No. 22,615), Kurt E. Richter (Reg. No. 24,052), J. Robert Dailey (Reg. No. 27,434), Eugene Moroz (Reg. No. 25,237), John F. Sweeney (Reg. No. 27,471), Arnold I. Rady (Reg. No. 26,601), Christopher A. Hughes (Reg. No. 26,914), William S. Feiler (Reg. No. 26,728), Joseph A. Calvaruso (Reg. No. 28,287), James W. Gould (Reg. No. 28,859), Richard C. Komson (Reg. No. 27,913), Israel Blum (Reg. No. 26,710), Bartholomew Verdirame (Reg. No. 28,483), Maria C. H. Lin (Reg. No. 29,323), Joseph A. DeGirolamo (Reg. No. 28,595), Michael A.

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☐ I hereby authorize the U.S. attorneys and/or agents named hereinabove to accept and follow instructions from _____ as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and/or agents and me. In the event of a change in the person(s) from whom instructions may be taken I will so notify the U.S. attorneys and /or agents named hereinabove.

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☐ ATTACHED IS ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR SIGNATURE BY THIRD AND SUBSEQUENT INVENTORS FORM.

* Before signing this declaration, each person signing must:

1. Review the declaration and verify the correctness of all information therein; and
2. Review the specification and the claims, including any amendments made to the claims.

After the declaration is signed, the specification and claims are not to be altered.

To the inventor(s):

The following are cited in or pertinent to the declaration attached to the accompanying application:

Title 37, Code of Federal Regulation, § 1.56

Duty to disclose information material to patentability.

(a) A patent by its very nature is affect with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- and (1) prior art cited in search reports of a foreign patent office in a counterpart application,
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

Title 35, U.S. Code § 101

Inventions patentable

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Title 35 U.S. Code § 102

Conditions for patentability; novelty and loss of right to patent

A person shall be entitled to a patent unless –

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent,
- (b) the invention was patented or described in a printed publication in this or foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States, or

- (c) he has abandoned the invention, or
- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States, or
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or
- (f) he did not himself invent the subject matter sought to be patented, or
- (g) before the applicant's invention thereof the invention was made in this country by another had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other ...

Title 35, U.S. Code § 103

Conditions for patentability; non-obvious subject matter

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

Title 35, U.S. Code § 112 (in part)

Specification

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise and exact terms also enable any person skilled in the art to which it pertains, or with which it is mostly nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Title 35, U.S. Code § 119

Benefit of earlier filing date in foreign country; right of priority

An application for patent for an invention filed in this country by any person who has, or whose legal representatives or assigns have, previously regularly filed an application for a patent for the same invention in a foreign country which affords similar privileges in the case of applications filed in the United States or to citizens of the United States, shall have the same effect as the same application would have if filed in this country on the date on which the application for patent for the same invention was first filed in such foreign country, if the application in this country is filed within twelve months from the earliest date on which such

foreign application was filed; but no patent shall be granted on any application for patent for an invention which had been patented or described in a printed publication in any country more than one year before the date of the actual filing of the application in this country, or which had been in public use or on sale in this country more than one year prior to such filing.

Title 35, U.S. Code § 120

Benefit or earlier filing date in the United States

An application for patent for an invention disclosed in the manner provided by the first paragraph of section 112 of this title in an application previously filed in the United States, or as provided by section 363 of this title, which is filed by an inventor or inventors named in the previously filed application shall have the same effect, as to such invention, as though filed on the date of the prior application, if filed before the patenting or abandonment of or termination of proceedings on the first application or an application similarly entitled to the benefit of the filing date of the first application and if it contains or is amended to contain a specific reference to the earlier filed application.

Please read carefully before signing the Declaration attached to the accompanying Application.

If you have any questions, please contact Morgan & Finnegan, L.L.P.

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